

DOCKET NO.: P-11213.00

IFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

In re Application of: Daniel C. Sigg et al.  
For: ) ANTITHROMBOGENIC MEDICAL DEVICE  
Serial No.: 10/766,792  
Filed: January 28, 2004

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 14<sup>th</sup> day of July, 2004.

Signature  
Sue McCoy  
Printed Name

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement
- ☒ PTO FORM 1449
- ☒ Copies of the Cited Foreign Patents
- ☒ Return Postcard

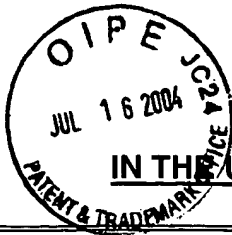
**FEE CALCULATION**

- ☒ \$ 00.00 Pursuant to 37 CFR §1.97(b) (before mailing of first Office Action)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification (cited in foreign application not more than 3 months earlier)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(d) with Certification

- ☐ Applicant hereby petitions for a \_\_\_\_\_ months' extension of time. If an additional extension of time is required, please consider this petition therefor.
- ☒ Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- ☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked with regard to this filing. A duplicate of this transmittal is enclosed.

Date July 14, 2004

E. Belden  
Elisabeth L. Belden  
Reg. No. 50,751  
Telephone: (763) 514-4083  
Customer No. 27581



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Applicant(s): Daniel C. Sigg et al.	) Art Unit: 3738
	)
Serial No.: 10/766,792	) Examiner:
	)
Filed: January 28, 2004	) Docket: P-11213.00

Conf No:

For: ANTITHROMBOGENIC MEDICAL DEVICE

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

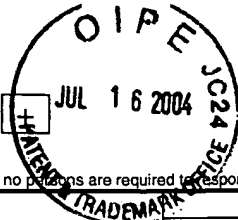
Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Respectfully submitted,

Date: July 14, 2004

By: E. Belden  
Elisabeth L. Belden  
Reg. No. 50,751  
Telephone: (763) 514-4083  
Customer No. 27581

Please type a plus sign (+) inside this box →



PTO/SB/O8A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/766,792
		Filing Date	January 28, 2004
		First Named Inventor	Daniel C. Sigg
		Group Art Unit	3738
		Examiner Name	
Sheet 1 of 1	Attorney Docket Number	P-11213.00	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite <sup>1</sup> No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	2002/0115559	A1	Batchelor et al.	08/22/2002	
	AB	6,379,691	B1	Tedeschi et al.	04/30/2002	
	AC	6,218,016	B1	Tedeschi et al.	04/17/2001	
	AD	6,052,625		Marshall	04/18/2000	
	AE	5,564,434		Halperin et al.	10/15/1996	
	AF	5,042,143		Holleman et al.	08/27/1991	
	AG	4,506,680		Stokes	03/26/1985	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite <sup>1</sup> No.	Foreign Patent Document			Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	AH		WO 95/24908	A1	Keefer et al.	09/21/1995	
	AI		WO 02/43786	A2	Tedeschi et al.	06/06/2002	
	AJ		WO 01/85227	A2	Fitzhugh et al.	11/15/2001	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite <sup>1</sup> No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AK	Mowery et al. "Preparation and Characterization of Hydrophobic Polymeric Films that are Thromboresistant Via Nitric Oxide Release" <i>Biomaterials</i> 2000;21: 9-21.	
	AL	Schoenfisch et al. "Improving the Thromboresistivity of Chemical Sensors via Nitric Oxide Release: Fabrication and in Vivo Evaluation of NO-Releasing Oxygen-Sensing Catheters" <i>Anal. Chem.</i> 2000;72:1119-1126.	
	AM	Zhang et al. "Nitric Oxide Releasing Silicone Rubbers with Improved Blood Compatibility: Preparation, Characterization, and in Vivo Evaluation" <i>Biomaterials</i> . 2002;21:1485-1494.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw Line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> Applicant is to place a check mark here if English language translation is attached.